

COMPANY APPLICATION FORM

1) COMPANY DETAILS

Name of Company:

ABN, ACN, ARBN, if applicable:

Registered Address:

Mailing Address (if different):

Contact Person:

Phone Number (Office hours):

Phone Number (Outside hours):

Email Address:

Name of Director(s):

2) DETAILS OF BENEFICIAL OWNERS

Owners Name(s):

1)

2)

Address(s):

1)

2)

Contact Number(s):

1)

2)

3) BANK DETAILS

Name of bank or building society:

Address of bank or building society:

Account Name:

BSB and Account Number:

4) INVESTMENT EXPERIENCE

Does the company:

Have any prior trading experience in financial markets?

Yes: No:

Does the company understand the nature of and risks of margined (geared) products?

Yes: No:

Please provide an approximate value you have to trade with GO Markets Pty Ltd:

5) ACKNOWLEDGMENTS

By signing this application you declare to GO Markets Pty Ltd that:

You confirm that you have read and understood the PDSs including the terms and conditions of Contracts that form part of the PDSs.

You understand the risks associated with investing in the contracts described in the PDSs.

You understand that Contracts are leveraged products. This means a small movement in the market can lead to a much larger movement in the value of your investment. This can work against you as well as for you. You acknowledge that you could sustain a loss greater than and not limited to the margin that you have deposited with us.

You believe that, based on your review of the PDSs, you are capable of assessing the merits of and understanding the terms, conditions and risks of Contracts. Therefore, the Contracts are an appropriate product for you to invest in.

That the information that you have provided in this application form is true and correct and you will notify GO Markets Pty Ltd in writing if any information provided changes or ceases to be correct.

This application form requires you to disclose personal information. The “Privacy” section of the PDS explains how we collect personal information and then how we maintain, use and disclose this information. By signing and returning this application form, you acknowledge having reviewed the “Privacy” section of the PDS and you consent to us collecting, using and disclosing information about any person named in this application form for the purposes described in the “Privacy” section of the PDS.

Signature

Name:

Date:

Title:

Director: Company Secretary: Other (Please specify)

Please provide the following documentation with your application form:

- Copy of Certificate of Incorporation
- Proof of registered trading address of the company
- Proof of identity of ALL directors: i.e. copy of drivers licence or passport

6) DIRECTORS CERTIFICATE

Where the applicant is a company or an incorporated association or body - **to be completed by one director**

I, the undersigned, am a director of the applicant and certify to GO that:

- a) the board of directors of the applicant (“Board”) has passed a resolution approving the application for an Account with GO Markets Pty Ltd and the entry into Contracts contemplated by this Application Form and the PDSs (collectively “Documents”);
- b) I have made due enquiry of all the applicant’s other directors. On the basis of these enquiries, to the extent that any director of the applicant has an interest in the Contracts, all disclosures relating to such interests that are required by law have been made;
- c) the Board has passed a resolution to authorise one or more directors to enter into all Contracts contemplated by the Documents on behalf of the applicant. This resolution has been made on the understanding that, by executing the Application Form, the applicant authorises and directs GO Markets Pty Ltd to act on all instructions (including Orders) purporting to be issued by the applicant on the GO Trader Platform or otherwise in accordance with the PDSs, and to assume, with no further enquiry, that the applicant has authorised such instruction;
- d) in approving the Documents and the Contracts, and in authorising one or more directors to enter into Contracts on behalf of the applicant, the Board, after taking into account all relevant factors and having made due enquiry:
 - i. considers that the applicant is receiving and will receive fair value under the Documents and the Contracts;
 - ii. has resolved that the applicant’s entry into and performance of obligations under the Documents and the Contracts is in the best interests of the applicant; and
 - iii. is of the view that the applicant is able to pay its debts as they become due, is not engaged or about to engage in business for which its financial resources are unreasonably small and is able to perform its obligations under the Documents and the Contracts when required to do so;
- e) this Application Form has been properly executed by the applicant;
- f) I am not aware of any liquidation proceedings which have been commenced or are intended to be commenced by any person against the applicant or which are intended or anticipated by the applicant; and
- g) no consents are required by the applicant for entry into, execution or performance of the Contracts or the Documents and the execution and delivery of the Documents and performance of the Contracts will not cause the applicant to be in breach of any obligation or law affecting it;
- h) each of the applicant’s directors has reviewed and considered the Acknowledgements in Section 13 of this Application Form, and each of the applicant’s directors has authorised the signing of the Application Form on behalf of the applicant.

Director Signature

Director Name:

Director Address:

Date:

7) GUARANTOR FORM

In the case where a company, including a company trustee, is applying for an Account, the obligations of the applicant must be guaranteed by each of the directors of the company. Additionally, GO Markets Pty Ltd may also require guarantees to be given in respect of non-company applicants. Each Guarantor must complete this section accordingly. If a company has more than three directors, or GO Markets Pty Ltd requires more than three Guarantors for a non-company applicant, please provide details and signatures of all additional guarantors and witnesses on a separate attachment.

Acknowledgements and Declarations

I have obtained advice from an independent legal adviser on my potential obligations and liabilities under the Documents including, without limitation, that:

- if the applicant fails to pay any money owing under the Documents (including, principal, interest, default interest and other costs and expenses), I will be personally responsible to pay such money; and
- if I fail to pay such sums, GO Markets Pty Ltd can sue me personally to recover such outstanding money; and GO Markets Pty Ltd can exercise its rights against me as Guarantor even if it has not pursued the applicant for the money owing.

I/we acknowledge that investment in any Contract is subject to investment risk, including possible delays in repayment and loss of income and/or principal invested;

I/we have read, understood and agree to be bound by the PDSs to which this Application Form is attached;

I/we declare that before completing this Application Form, I/we have received and I was/ we were given access to the PDSs;

I/we declare that all information provided by me/us is true and correct to the best of my/our knowledge and I/we acknowledge that GO Markets Pty Ltd may and will rely on this information;

I/we acknowledge that my/our obligations under the PDSs including my/our obligations under any Contracts are not affected by:

- the success or failure of GO Markets Pty Ltd
- the level of return from or loss of money from an investment in any given Contract; or
- any illegality in connection with GO Markets Pty Ltd or the PDSs

If the applicant's application for an Account is accepted, I/we will enter into an agreement with GO Markets Pty Ltd in the form of the client agreement comprising part of the PDSs to which this form is attached.

I/We agree that where more than one Guarantor signs this form:

- each Guarantor agrees to be bound by the client agreement as a Guarantor;
- the obligations of each Guarantor under the client agreement are joint and several;
- the client agreement binds each Guarantor notwithstanding that one or more of them may not be or may cease to be bound by the terms of the client agreement;
- The obligations of each Guarantor under the client agreement will not be affected by any obligation of any one or more of them being or becoming wholly or partially illegal, voidable or unenforceable for any reason whatsoever (whether or not GO Markets Pty Ltd knew or ought to have known of such reason);
- Each reference to the Guarantor in the client agreement shall include each Guarantor.

Guarantor A

Name:

Address:

Date of Birth:

Signature:

Date:

Witness of Guarantor A

Name:

Signature:

Date:

Guarantor B

Name:

Address:

Date of Birth:

Signature:

Date:

Witness of Guarantor B

Name:

Signature:

Date:

Guarantor C

Name:

Address:

Date of Birth:

Signature:

Date:

Witness of Guarantor C

Name:

Signature:

Date: